# NON-TAME AUTOMORPHISMS OF EXTENSIONS OF PERIODIC GROUPS

BY

YOAV MORIAH<sup>\*</sup> AND VLADIMIR SHPILRAIN\*\*

*Department of Mathematics Technion--Israel Institute of Technology, Haifa 3PO00, Israel* 

#### ABSTRACT

Let F be a free group and  $R \leq F$  a characteristic subgroup. Automorphisms of  $F/R$  which are induced by automorphisms of  $F$  are called tame. In this paper we use the  $N$ -torsion invariant discovered by the first author and M. Lustig [LM] to show the existence of non-tame automorphisms of free central extensions and free nilpotent extensions of Burnside groups.

## 0. Introduction

Let  $F = F_n$  be a free group of rank  $n \geq 2$  on the set  $X = \{X_1, ..., X_n\}$  of free generators. If  $R$  is a characteristic subgroup of  $F$  then the natural mapping  $\sigma_R: F \to F/R$  induces the mapping  $\theta_R: \text{Aut}(F) \to \text{Aut}(F/R)$  of the corresponding automorphism groups. The automorphisms of the group  $F/R$  which belong to the image of  $\theta_R$  are called tame. More generally, if R is an arbitrary normal subgroup of F, we call an automorphism  $\varphi$  of the group  $F/R$  tame if there exists an automorphism  $\varphi'$  of F such that  $\varphi'(R) = R$  and  $\varphi'$  induces the automorphism  $\varphi$  of  $F/R$  in the obvious way.

The question of existence of non-tame automorphisms in general groups is stimulated by the classical result of Nielsen which describes the group  $Aut F$ in terms of generators and relations (see [N]). In particular, this group can be generated by four elements.

<sup>\*</sup> Partially supported by the German Israel Foundation for Research and **Development (G. I. F.).** 

**<sup>\*\*</sup> Supported by a grant from the Israel Planning and Budgeting Committee. Received January 26, 1992 and in revised form July 24, 1992** 

Let  $\gamma_c(G)$  denote the c-th term of the lower central series of a group G. Instead of  $\gamma_2(G)$  we usually write G'. Bachmuth has proved that every free nilpotent group  $F/\gamma_{c+1}(F)$  of class  $c \geq 3$  and of rank  $n \geq 2$  has non-tame automorphisms (see [B]). This result was previously partly proved by Andreadakis [A].

For free metabelian groups  $M_n = F_n/F_n''$  it was proved by Bachmuth and Mochizuki that the groups  $M_2$  and  $M_k$ ,  $k \geq 4$ , have only tame automorphisms (see [BM1], [BM2]). However Chein showed that the group  $M_3$  has non-tame ones (see [C]). This shows that, sometimes, the rank of the group plays a sensitive role in this question. It was later proved that *AutM3* is not even finitely generated (see [BM1]).

The second author has proved the existence of non-tame automorphisms in the rather general situation of groups of the form  $F/\gamma_c(R)$ , in particular, of free solvable non-metabelian groups of rank  $n \geq 4$  (see [Sh1]). Similar results have been obtained by C.K. Gupta and Levin (see [GL]). Both approaches of [Shl] and [GL] are based on finding necessary conditions for a matrix over a free group ring to be invertible. A rather strong and convenient necessary condition of that sort has been elaborated in [BGLM].

A different approach to the problem of finding non-tame automorphisms has been discovered recently by the first author and M. Lustig. They have found a new invariant for Nielsen equivalence classes of generating systems called  $N$ -Torsion. Among other things, this invariant yields a necessary condition for an arbitrary automorphism of an arbitrary finitely generated group to be tame (see  $[LM]$ ).

In this paper, we use the approach of [LM] to find non-tame automorphisms of various group extensions. First we demonstrate our method by reproving Bachmuth's result on automorphisms of free nilpotent groups cited above. Then we consider free central extensions of free Burnside groups  $B(n,p) = F_n/F_n^p$ where  $F_n^p$  is the subgroup generated by all p-powers of elements of F, and  $p \geq 2$ is an *arbitrary* integer. We prove the following:

THEOREM 0.1: The group  $F_n/[F_n^p, F_n]$  has non-tame automorphisms in the fol*lowing cases:* 

- (a) The exponent p is even;  $p \geq 4$  and the rank  $n \geq 3$ ;
- (b) The exponent p is odd;  $p \geq 3$  and the rank  $n \geq 4$ .

*Remark 0.2:* The free Burnside groups are known to have non-tame automor-

phisms as can be seen from the following example:

Let F be the free group on  $\{X_1, X_2\}$  and  $p \geq 5$ . Let q, r be two integers relatively prime to p so that  $1 < qr < p-1$ . Let  $x_i$  be the image of  $X_i$  under the natural epimorphism  $F_2 \to B(2,p)$ . It is clear that the map  $\varphi: F/F^p \to F/F^p$ defined by  $\varphi(x_1) = x_1^q$ ,  $\varphi(x_2) = x_2^r$  defines an automorphism of  $F/F^p$ . If  $\varphi$  lifts to  $\varphi' \in \text{Aut } F$  then  $\varphi'$  will induce an automorphism of  $Z \oplus Z$  given by a  $2 \times 2$ -matrix:

$$
\begin{bmatrix} q+pj & pk \ pl & r+pm \end{bmatrix}
$$
 for some  $j, k, l, m \in \mathbb{Z}$ .

The determinant of this matrix is clearly not  $\pm 1$ ; hence a contradiction.

We furthermore consider free nilpotent extensions of periodic groups and prove:

**THEOREM 0.3:** *Let B(n,p) be the* free *Burnside group, then its free nilpotent*  extension  $F_n/\gamma_c(F_n^p)$  has non-tame automorphisms for any rank  $n \geq 2$  and  $c \geq 3$ .

Remark *0.4:* An endomorphism of F induces an automorphism of the group  $F/R'$  if and only if given any fully invariant subgroup V of F such that  $V \leq R'$ and the quotient  $R/V$  is nilpotent, it induces an automorphism of the group  $F/V$  $(see [BG] Lemma 3.1).$ 

COROLLARY 0.5: The group  $F/[\gamma_c(F_n^p), F]$  has non-tame automorphisms for any *rank*  $n \geq 2$  *and*  $c \geq 3$ *.* 

*Proof:* For  $c \geq 2$  the group  $\gamma_c(R)/[\gamma_c(R), F_n]$  is the center of  $F_n/[\gamma_c(R), F_n]$  (see [GG] and [Sh2]), hence it is a characteristic subgroup, so every automorphism of  $F_n/[\gamma_c(F_n^p), F_n]$  induces an automorphism of  $F_n/\gamma_c(F_n^p)$ . Any non-tame automorphism  $\varphi$  of the group  $F_n/\gamma_c(F_n^p)$ , which exists by Theorem 0.3, induces some automorphism  $\psi$  of the group  $F_n/[\gamma_c(F_n^p), F_n]$ . This is an application of the cited Lemma 3.1 of [BG] for  $R = F_n^p$  and  $V = [\gamma_c(F_n^p), F_n]$ . Suppose  $\psi$  is tame; it induces the automorphism  $\varphi$  of  $F_n/\gamma_c(F_n^p)$  which is therefore tame, a contradiction.

The case  $c = 2$  which is not covered by Theorem 0.3 is interesting in view of the fact that the group  $F_2/\gamma_2(R)$  has only tame automorphisms provided either  $R \leq F_2'$  and the group ring  $\mathbb{Z}(F_2/R)$  is a domain, or the group  $G = F_2/R$  is not cyclic,  $G/G'$  has at least one infinite cyclic factor, and the group ring  $\mathbb{Z}G$  is an Ore domain which has only trivial units (see [BFM]). Theorem 0.6 below seems to be a nice complement to the cited result.

THEOREM 0.6: *Let G be any* group *with the following property: The* group *ring*   $\mathbb{Z}(G)$  has a non-trivial unit such that its image in the group ring  $\mathbb{Z}(G/G')$  is also *a non-trivial unit. Then there is a presentation*  $G = F/R$  *with F a free group,* such that the group  $F/R'$  has non-tame automorphisms.

Using the characterization of abelian groups, the group rings of which have non-trivial units (see [Se]) we obtain the following:

COROLLARY 0.7: *Let A be an abelian group with torsion elements of order 5 or*  greater *than 6. Then A has a presentation F/R* such *that the group F/R' has*  non-tame *automorphisms.* 

To conclude this section, we note that one and the same group might have two different presentations  $F_1/R_1$  and  $F_2/R_2$  such that one of them has non-tame automorphisms while another does not (see [LMR]).

ACKNOWLEDGEMENT: The authors would like to thank the Mathematics Department of the Technion for its hospitality and stimulating atmosphere.

#### **1. Preliminaries**

In this section we will review some results from [LM] which will allow us to recognize non-tame automorphisms. For proofs and further information see [LM].

Throughout the paper G denotes a finitely generated group with generators  $x = \{x_1, \ldots, x_n\}$ . Let  $y = \{y_1, \ldots, y_n\}$  be another generating system for G of the same cardinality. Let  $F(X)$  and  $F(Y)$  denote the free groups on  $X =$  $\{X_1,\ldots,X_n\}$  and  $Y = \{Y_1,\ldots,Y_n\}$  respectively. Denote by  $\beta_x$  and  $\beta_y$  the epimorphisms  $F(X) \to G$ ,  $F(Y) \to G$  given by  $X_i \to x_i$  and  $Y_i \to y_i$ .

Definition 1.1: The generating systems  $x, y$  are Nielsen equivalent if there is an isomorphism  $\alpha: F(X) \to F(Y)$  so that  $\beta_{\mathbf{y}} \cdot \alpha = \beta_{\mathbf{z}}$ .

Note that if  $\varphi: G \to G$  is an automorphism it is clear that if  $\varphi$  is tame then the generating systems  $x = \{x_1, \ldots, x_n\}$  and  $\varphi(x) = \{\varphi(x_1), \ldots, \varphi(x_n)\}$  are Nielsen equivalent.

Let  $d_i = \partial/\partial X_i$ :  $\mathbb{Z}F \to \mathbb{Z}F$ ,  $1 \leq i \leq n$ , denote the i-th Fox derivation of the integral group ring  $\mathbb{Z}F(X)$  (see [F]). It is a Z linear map with the following properties:

- (1)  $d_i(X_i) = \delta_{ii}$ ;
- (2)  $d_i(nu + mv) = nd_i(u) + md_i(v)$ ; for  $u, v \in F(X)$  and  $m, n \in \mathbb{Z}$ ;

(3)  $d_i(uv) = d_i(u) + u d_i(v)$ .

The following Theorem II of [LM] gives us a tool to distinguish Nielsen inequivalent generating systems of minimal eardinality.

**THEOREM II:** *Let G be presented by* 

$$
G=,
$$

and let a second generating system  $y_1 = w_1(x_1,...,x_n),..., y_n = w_n(x_1,...,x_n)$ *be given as words in the*  $x_i$ *. For any word*  $w = w(x_1, \ldots, x_n) \in G$  *let W denote* the corresponding word  $W = w(X_1, \ldots, X_n) \in F(X)$ . Let  $\partial w/\partial x_i \in \mathbb{Z}G$  denote the image of the Fox derivative  $\partial W/\partial X_i$  under the map  $\beta_{\mathbf{z}}\colon ZF(X) \to ZG$ ,  $X_i \rightarrow x_i$ .

Let A be a commutative ring with  $1 \in A$ , and let  $\rho: \mathbb{Z}G \to M_m(A)$ ,  $\rho(1) = 1$ , *be a ring homomorphism so that*  $\rho(\beta_z(\partial R_k/\partial X_i)) = 0$  for all  $R_k$  and  $X_i$ . If the determinant of the  $(mn \times mn)$ -matrix  $\rho((\partial w_j/\partial x_i)_{j,i})$  is not contained in *the subgroup of A\* generated by the determinants of*  $\rho(\pm x_1), \ldots, \rho(\pm x_n)$ , *then*  $x_1, \ldots, x_n$  and  $y_1, \ldots, y_n$  are Nielsen inequivalent generating systems of G.

We will use the notation of [LM] and denote the two-sided ideal  $(\beta_x(\partial R/\partial X_i))$  $R \in \text{ker} \beta_x$  of ZG by  $I_x$ . We furthermore remark that Proposition 2.5 of [LM] shows that representations into matrix rings as above do in fact exist.

If  $R \subseteq F$  is a normal subgroup of the group F, we have a natural homomorphism  $\epsilon_R: \mathbb{Z}F \to \mathbb{Z}(F/R)$ . We denote ker $\epsilon_R$  by  $\Delta_R$ . If  $R = F$  we just have the augmentation ideal  $\Delta_F$  of the group ring ZF.

**LEMMA 1.2:** 

- (a) Let *J* be an arbitrary ideal of the group ring ZF and let  $u \in \Delta_F$ . Then  $u \in J\Delta_F$  if and only if  $d_i(u) \in J$  for each  $i, 1 \leq i \leq n$ ;
- (b) Let  $y_1, y_2 \in F$  and  $[y_1, y_2] = y_1^{-1}y_2^{-1}y_1y_2$ . Then:

$$
d_i([y_1, y_2]) = y_1^{-1}y_2^{-1}(1-y_2)d_i(y_1) + y_1^{-1}y_2^{-1}(y_1 - 1)d_i(y_2).
$$

For proofs see [F].

The next lemma describes the group  $\gamma_c(R)$  as a subgroup of the free group F determined by some ideal of the group ring  $\mathbb{Z}F$  in two ways. The first assertion is usually attributed to Magnus although in the form given here it was proved in [CFL] for the first time. The second assertion is due to Gruenberg (see [Gr]  $\S 4.1$ ).

LEMMA 1.3:

- (a)  $\gamma_c(R) = (\Delta_R^c + 1) \cap F, c \ge 1;$
- (b)  $\gamma_c(R) = (\Delta_R^{c-1} \Delta_F + 1) \cap F, c \geq 2.$

We now illustrate our method by presenting non-tame automorphisms for free nilpotent groups.

Example 1.4: Let  $G = F/\gamma_{c+1}(F)$  be a free nilpotent group of an arbitrary class  $c \geq 3$  and arbitrary rank  $n \geq 2$ . Set  $\varphi(X_1) = Y_1 = X_1[X_1, X_2, X_1]$ ;  $\varphi(X_i) = Y_i = X_i$  for  $i \geq 2$ . It is easy to check that  $\varphi$  defines an automorphism of the group G.

We check that  $\varphi$  defines a non-tame automorphism of G. It follows from Lemmas 1.2 and 1.3 that for any  $g \in \gamma_{c+1}(F)$  and any  $i, 1 \le i \le n, d_i(g) \in$  $\Delta_F^c$ ; hence  $d_i(g) \in \Delta_F^3$ . By sending  $X_1, X_2 \to t$  and  $X_i \to 1, i > 2$ , we obtain a homomorphism  $\mathbb{Z}F \to \mathbb{Z}[t,t^{-1}]$ . The ideal  $I_x$  is mapped to 0 in  $\mathbb{Z}[t, t^{-1}]/(\text{Im }\Delta_F^3)$  thus there is an induced homomorphism on the quotient rings  $\mathbb{Z}G \to \mathbb{Z}[t, t^{-1}]/(\mathrm{Im}\,\Delta_F{}^3)$  (see [LM]).

Consider the image  $J\varphi'$  of the Jacobian matrix  $J\varphi = (\partial \varphi(x_i)/\partial x_i)$  in the commutative ring  $\mathbb{Z}[t, t^{-1}]$ . It is immediate that det  $J\varphi' = d_1(y_1)$ . Using Lemma 1.2 (b) we calculate:

$$
d_1(y_1)=1+x_1(1-x_1)(1-x_2)+[x_1,x_2]-1 \pmod{\Delta_F}^3.
$$

This yields that the determinant  $J\varphi'$  is equal to

$$
(1) \t\t\t 1+t(t-1)^2 \bmod(\operatorname{Im}(\Delta_F^3)).
$$

The determinant  $J\varphi'$  is not a trivial unit of  $\mathbb{Z}[t, t^{-1}]$  modulo the image of  $\Delta_F^3$ . Indeed, the image of  $\Delta F^3$  consists of polynomials of the form  $P(t,t^{-1})(t-1)^3$ . Were the expression (1) a trivial unit of  $\mathbb{Z}[t, t^{-1}]$  modulo the image of  $\Delta_F^3$ , we would have:

$$
t(t-1)^2 = \pm t^k - 1 + P(t, t^{-1})(t-1)^3
$$

for some polynomial  $P(t, t^{-1})$  and some integer k. This is a contradiction because the polynomial on the left-hand side has 1 as a root of multiplicity two while the polynomial on the right-hand side has 1 as a root of multiplicity  $\leq 1$  or  $\geq 3$ . The proof is now completed by applying Theorem II to the case  $m = 1$  and  $A^* = (\mathbb{Z}[t, t^{-1}]/\operatorname{Im}(\Delta_F^{-3}))^*.$ 

## **2. Central Extensions of Burnside Groups**

It is natural, in light of Remark 0.2, to ask whether the central extensions of free Burnside groups  $G = F_n/[F_n^p, F_n]$  have non-tame automorphisms. As the abelianization of G is  $\mathbb{Z}^n$  it is impossible to use the idea of the example in Remark 0.2. In this section we will answer this question in the affirmative. The device with which we will determine this is Theorem II of [LM] which is an application of the  $N$ -torsion invariant.

LEMMA 2.1: Assume  $n \geq 3$ . The map  $\varphi_n: G \to G$  defined by

$$
\varphi_n(x_1) = x_1^p x_2 x_3^{-(p+1)}, \quad \varphi_n(x_2) = x_2^p x_3, \quad \varphi_n(x_3) = x_1^{-(p-1)} x_3^p,
$$

$$
\varphi_n(x_i) = x_i \quad \text{for } i > 3
$$

*is an automorphism.* 

Proof: As  $[F_n^p, F_n]$  is a fully invariant subgroup of  $F_n$  it is clear that  $\varphi_n$  is a homomorphism. To check that it is an automorphism it is sufficient to produce an inverse map. Consider the homomorphism  $\Psi_n: G \to G$  defined by :

$$
\Psi_n(x_1) = x_1^{p^2} x_2^{-p} x_3^{p^2+p+1} C_1, \quad \Psi_n(x_2) = x_1^{-(p-1)} x_2 x_3^{-p} C_2,
$$
  

$$
\Psi_n(x_3) = x_1^{p(p-1)} x_2^{-(p-1)} x_3^{p^2} C_3, \quad \Psi_n(x_i) = x_i, \quad i > 3,
$$

where

$$
C_2 = x_1^p x_2^p (x_1 x_2)^{-p}, \quad C_3 = x_1^p x_2^p (x_1 x_2 C_2)^{-p}, \quad C_1 = C_3 C_2^{-1}.
$$

A computation shows that  $\varphi_n(\Psi_n(x_j)) = x_j$  for each j.

LEMMA 2.2: Let  $p \geq 4$  be even. Then the automorphism  $\varphi_n$  is not tame.

*Proof:* Consider the Jacobian matrix  $J\varphi = (\partial \varphi_n(x_i)/\partial x_i)$ , as in Theorem II. It is a diagonal block matrix over ZG with a  $(n-3) \times (n-3)$ -identity block in the lower right corner and a  $(3 \times 3)$ -block in the upper left corner of the form:

$$
\begin{bmatrix} 1+x_1+\cdots+x_1^{p-1} & x_1^p & -x_1^p x_2 x_3^{-(p-1)}(1+x_3+\cdots+x_3^p) \\ 0 & 1+x_2+\cdots+x_2^{p-1} & x_2^p \\ -x_1^{-(p-1)}(1+x_1+\cdots+x_1^{p-2}) & 0 & x_1^{-(p-1)}(1+x_3+\cdots+x_3^{p-1}) \end{bmatrix}.
$$

We obtain a map  $\mathbb{Z}[F_n/[F_n^p, F_n]] \to \mathbb{Z}$  by sending  $x_1, x_3 \to -1, x_2, x_i \to 1$ ,  $i \geq 4$ , and extending linearly over  $\mathbb{Z}[F_n/[F_n^p, F_n]]$ . The image of the Jacobian matrix  $J\varphi$  is the  $(n \times n)$ -block matrix with an  $(n-3) \times (n-3)$ -identity block and a  $(3 \times 3)$ -block

$$
\begin{bmatrix} 0&1&1\\0&p&1\\1&0&0 \end{bmatrix}
$$

It has determinant  $1 - p$ .

The group  $G = F_n/[F_n^p, F_n]$  has a presentation:

$$
G = \langle x_1, \ldots, x_n | [g^p, f], g, f \in F_n \rangle.
$$

We compute the image of  $\partial [g^p, f]/\partial X_i$  over **ZG** denoted by  $\partial [g^p, f]/\partial x_i$  as in Theorem II:

$$
\partial[g^p, f]/\partial x_i = -g^p(1-f^{-1})(1+g+\cdots+g^{p-1})\partial g/\partial x_i
$$
  
+ 
$$
g^{-p}f^{-1}(1-g)(1+g+\cdots+g^{p-1})\partial f/\partial x_i
$$

The images in Z of each g,  $f \in F$  are  $\pm 1$ . As p is even, if  $g \to \pm 1$  the second summand is 0. If  $g \to 1$  and  $f \to -1$  the first summand is  $-2p$  and if  $f \to 1$ the first summand is 0. In any case the image of  $\partial [g^p, f]/\partial x_i$  is contained in the ideal  $(2p) \subset \mathbb{Z}$  for each f, g and  $x_i \in \{x_1, ..., x_n\}$ . Thus we have a representation  $\rho: \mathbb{Z}[F_n/[F_n^p, F_n]] \to \mathbb{Z}/(2p)$  in which  $\rho(\partial [g^p, f]/\partial x_i) = 0$ . We are now in position to apply Theorem II with  $m = 1$  and  $A^* = (\mathbb{Z}/(2p))^*$ . The trivial units  $\rho(x_i)$  are  $\pm 1$ . It is clear that unless  $p = 2$  (p is even)  $p-1 \neq \pm 1 \mod 2p$ . Thus, by Theorem II, the generating systems  $x = \{x_1, ..., x_n\}$  and  $\varphi(x) = \{\varphi(x_1), ..., \varphi(x_n)\}$  are not Nielsen equivalent and hence  $\varphi$  is not tame.

LEMMA 2.3: Assume  $n \geq 4$ . The map  $\varphi_n: G \to G$  defined by

$$
\varphi_n(x_1) = x_2^p x_3^{(p+1)} x_4^p, \quad \varphi_n(x_2) = x_2 x_3^p x_4^2, \quad \varphi_n(x_3) = x_1^p x_3^{p+1} x_2^{p+1} x_4^{p+1},
$$

$$
\varphi_n(x_4) = x_1^{p-1} x_2^p x_3^p x_4^p, \quad \varphi_n(x_i) = x_i \quad \text{for } i > 4,
$$

*is an automorphism.* 

*Proof:* As  $[F_n^p, F_n]$  is a fully invariant subgroup of  $F_n$  it is clear that  $\varphi_n$  is a homomorphism. To check that it is an automorphism it is sufficient to produce an inverse map. The homomorphism  $\varphi_n$  induces an isomorphism  $\varphi_n^{\mathbf{ab}} \in GL(n, \mathbb{Z})$ on the abelianized group  $G/G' = \mathbb{Z}^n$ . The matrix  $\varphi_n^{\text{ab}}$  is an  $(n \times n)$ -block matrix

which is an  $(n - 4) \times (n - 4)$ -identity block on the lower right corner and a  $(4 \times 4)$ -matrix in the upper left corner of the form

$$
\begin{bmatrix} 0 & p & p+1 & p \\ 0 & 1 & p & 2 \\ p & p+1 & p+1 & p+1 \\ p-1 & p & p & p \end{bmatrix}
$$

The inverse to  $\varphi_n^{\text{ab}}$  is an  $(n \times n)$ -block matrix  $(\varphi_n^{\text{ab}})^{-1}$  which is an  $(n-4) \times (n-4)$ identity block on the lower right corner and a  $(4 \times 4)$ -matrix in the upper left corner of the form:

$$
\left[\begin{array}{cccc}0&0&p&-(p+1)\\p-2&-1&p(p-1)(2(p+1)-p^2)&p(2(p+1)-p^2)\\1&0&p(p-1)&-p^2\\-p+1&1&-p^3+2p^2+1&p^3-p^2-p\end{array}\right]
$$

Hence if  $\varphi_n$  has an inverse  $\Psi_n$  then it must induce the map  $(\varphi_n^{\text{ab}})^{-1}$  on  $\mathbb{Z}^n$ . Thus  $\Psi_n$  must be of the form:

$$
\Psi_n(x_1) = x_3^p x_4^{-(p+1)} C_1, \quad \Psi_n(x_2)^{p-2} x_2^{-1} x_3^{p^3-3p^2+2} x_4^{-p^3+2p^2+2p} C_2,
$$
  
\n
$$
\Psi_n(x_3) = x_1 x_3^{p(p-1)} x_4^{-p^2} C_3, \quad \Psi_n(x_4) = x_1^{-p+1} x_2 x_3^{-p^3+2p^2-1} x_4^{p^3-p^2-p} C_4,
$$
  
\n
$$
\Psi_n(x_i) = x_i C_i, \qquad i > 4,
$$

where  $C_1$ ,  $C_2$ ,  $C_3$ ,  $C_4$ ,  $C_i$ ,  $5 \le i \le n$ , are unknown elements in the commutator subgroup of  $G$ .

The compositions  $\Psi_n(\varphi_n(x_i)) = x_i$  give us equations in  $C_1$ ,  $C_2$ ,  $C_3$ ,  $C_4$ ,  $C_i$ . If these equations have solutions in the commutator subgroup of G then  $\Psi_n$  as above is an inverse to  $\varphi_n$ . The equations are:

$$
(0) \t x_i C_i = x_i \t for  $5 \leq i \leq n$ , and
$$

(1) 
$$
x_3^{-p}(x_1^{-2}x_2^{-1}x_3^{2}C_2)^p(x_1C_3)^{p+1}(x_1x_2x_3^{-1}C_4)^p = x_1,
$$

(2) 
$$
x_1^{-p-2}x_2^{-1}x_3^2C_2(x_1C_3)^p(x_1x_2x_3^{-1}C_4)^2=x_2,
$$

$$
(3) \qquad x_3^{-p} x_4^{p} (x_4^{-1} C_1)^{p} (x_1 C_3)^{p+1} (x_1^{-2} x_2^{-1} x_3^{2} C_2)^{p+1} (x_1 x_2 x_3^{-1} C_4)^{p+1} = x_3,
$$

(4) 
$$
x_3^{-p} x_4^{p} (x_4^{-1} C_1)^{p-1} (x_1^{-2} x_2^{-1} x_3^{2} C_2)^{p} (x_1 C_3)^{p} (x_1 x_2 x_3^{-1} C_4)^{p} = x_4.
$$

So we need to present a solution to the equations for  $C_1$ ,  $C_2$ ,  $C_3$ ,  $C_4$ ,  $C_i$ . Set  $C_i = 1$ , for  $5 \le i \le n$ . Equations (1) and (4) can be rewritten :

(1\*) 
$$
x_3^{-p}(x_1^{-2}x_2^{-1}x_3^2C_2)^p(x_1C_3)^p(x_1x_2x_3^{-1}C_4)^p = C_3^{-1},
$$

$$
(4^*)\qquad x_3^{-p} x_4^{p} (x_4^{-1} C_1)^p (x_1^{-2} x_2^{-1} x_3^{2} C_2)^p (x_1 C_3)^p (x_1 x_2 x_3^{-1} C_4)^p = C_1.
$$

Hence, as  $C_1$  and  $C_3$  are p-powers, we can assume without loss of generality that they are in the center of G. Set  $A = x_1^{-2}x_2^{-1}x_3^2C_2$  and  $B = x_1x_2x_3^{-1}C_4$ . We can then rewrite the equations  $(1)-(4)$  as:

(1') 
$$
x_1^p x_3^{-p} C_3^{p+1} A^p B^p = 1,
$$

$$
(2') \t\t\t x_2^{-1}C_3^pAB^2=1,
$$

(3') 
$$
C_1^p C_3^{p+1} x_3^{-p-1} x_1^{p+1} A^{p+1} B^{p+1} = 1,
$$

(4') 
$$
x_3^{-p} x_1^p C_1^{p-1} C_3^p A^p B^p = 1.
$$

From  $(3')$  and  $(4')$  we obtain

(5') 
$$
C_1 C_3 x_3^{-1} x_1 AB = 1.
$$

From  $(1')$  and  $(3')$  we obtain

(6') 
$$
C_1^p x_3^{-1} x_1 AB = 1.
$$

From  $(5')$  and  $(6')$  we obtain

$$
(7') \hspace{3.1em} C_3 = C_1^{p-1}.
$$

From (6') we get  $AB = C_1^{-p}x_1^{-1}x_3$  and substituting this in (2') yields:

$$
(8') \qquad B = C_1^p C_3^{-p} x_3^{-1} x_1 x_2 \quad \text{and} \quad A = C_3^p C_1^{-2p} x_1^{-1} x_3 x_2^{-1} x_1^{-1} x_3.
$$

Thus, using (7'), we can solve for  $C_2$  and  $C_4$  in terms of  $C_1$  and  $\{x_1, ..., x_4\}$ . Substitute *A,B* and (7') back in (1') and set  $A' = x_1^{-1}x_3x_2^{-1}x_1^{-1}x_3$ ,  $B' = x_3^{-1}x_1x_2$ . We get:

$$
x_1^p x_3^{-p} C_1^{p^2-1} C_1^{p^2(p-1)} C_1^{-2p^2} (A')^p C_1^{p^2} C_1^{-p^2(p-1)} (B')^p = 1.
$$

As  $C_1$  is in the center we get  $C_1^{-1}x_1^px_3^{-p}(A')^p(B')^p = 1$ , i.e.,

$$
C_1 = x_1^p x_3^{-p} (x_1^{-1} x_3 x_2^{-1} x_1^{-1} x_3)^p (x_3^{-1} x_1 x_2)^p.
$$

It is immediate to check that  $C_1$  and hence  $C_3$ , are in the commutator subgroup of G. The fact that  $C_2$ ,  $C_4$  are also in the commutator subgroup folows from  $(8')$  and the definitions of A, B. Therefore we have solutions to the equations  $(0)-(4)$ , and  $\varphi_n$  thus defined is an automorphism.  $\Box$ 

## LEMMA 2.4: Let p be odd. Then the automorphism  $\varphi_n$  is not tame.

Proof. Consider the Jacobian matrix  $J\varphi$ . It is a diagonal block matrix over  $ZG$ with a  $(n-4) \times (n-4)$ -identity block on the lower right corner and a  $(4 \times 4)$ -block on the upper left corner of the form (which, unfortunately, is too wide to fit in one line):

$$
\begin{bmatrix}\n0 & 1+x_2+\cdots+x_2^{p-1} \\
0 & 1 \\
1+x_1+\cdots+x_1^{p-1} & x_1^px_3^{p+1}(1+x_2+\cdots+x_2^p) \\
1+x_1+\cdots+x_1^{p-2} & x_1^{p-1}(1+x_2+\cdots+x_2^{p-1})\n\end{bmatrix}
$$
\n
$$
x_2^p(1+x_3+\cdots+x_3^p) \qquad x_2^px_3^{p+1}(1+x_4+\cdots+x_4^{p-1})
$$
\n
$$
x_2(1+x_3+\cdots+x_5^{p-1}) \qquad x_2x_3^p(1+x_4)
$$
\n
$$
x_1^p(1+x_3+\cdots+x_5^p) \qquad x_1^px_3^{p+1}x_2^{p+1}(1+x_4+\cdots+x_4^p)
$$
\n
$$
x_1^{p-1}x_2^p(1+x_3+\cdots+x_3^{p-1}) \qquad x_1^{p-1}x_2^px_3^p(1+x_4+\cdots+x_4^{p-1})
$$

We get a map  $\mathbb{Z}[F_n/[F_n^p, F_n]] \to \mathbb{Z}[\xi], \xi = e^{2\pi i/p}$ , by sending  $x_1, x_4 \to 1$  and  $x_2, x_3 \rightarrow \xi$ . The image of the above matrix is the following (4  $\times$  4)-matrix over  $\mathbb{Z}[\xi]$ :

$$
\begin{bmatrix} 0 & 0 & 1 & \xi p \\ 0 & 1 & 0 & 2\xi \\ p & \xi & 1 & \xi^2(p+1) \\ p-1 & 0 & 0 & p \end{bmatrix}
$$

with determinant  $-p^2 - (p-1)(-\xi^2p + \xi p + \xi^2) \subset Z[\xi]$ . As in Lemma 2.2 we compute:

$$
\partial [g^p, f] / \partial x_i = - g^p (1 - f^{-1}) (1 + g + \dots + g^{p-1}) \partial g / \partial x_i
$$
  
+ 
$$
g^{-p} f^{-1} (1 - g) (1 + g + \dots +^{p-1}) \partial f / \partial x_i
$$

If  $g \to \xi^m$  then  $\partial [g^p, f]/\partial x_i \to 0$  and if  $g \to 1$ ,  $f \to \xi^m$  then the second summand is mapped to 0 and the first summand is mapped to  $-(1 - \xi^{-m})p$ . In both cases the image of  $\partial [g^p, f]/\partial x_i$  is contained in the ideal generated by  $\{((1 - \xi^{-m})p)\|m \in \mathbb{Z}\}\subset ((1 - \xi)p) \subset \mathbb{Z}[\xi].$ 

Thus we have obtained a representation  $\rho: \mathbb{Z}[F_n/[F_n^p, F_n]] \to \mathbb{Z}[\xi]/((1 - \xi)p),$  $\xi = e^{2\pi i/p}$ , such that  $\rho(\partial [g^p, f]/\partial x_i) = 0$ . We can now apply Theorem II for the case  $m = 1$  and  $A^* = (\mathbb{Z}[\xi]/(1 - \xi)p)^*$ . We can conclude that the generating systems  $x = \{x_1, ..., x_n\}$  and  $\varphi(x) = \{\varphi(x_1), ..., \varphi(x_n)\}\$  are not Nielsen equivalent and hence  $\varphi$  is not tame if we can show that

$$
-p^2 - (p-1)(-\xi^2 p + \xi p + \xi^2) \neq \pm \xi^m \mod ((1-\xi)p) \subset \mathbb{Z}[\xi].
$$

LEMMA 2.5: Let  $p \in \mathbb{Z}$  be odd where  $\xi = e^{2\pi i/p}$ , then:

$$
-p^2 - (p-1)(-\xi^2 p + \xi p + \xi^2) \neq \pm \xi^m \bmod ((1-\xi)p) \text{ in } \mathbb{Z}[\xi].
$$

*Proof:* Note that  $p = \xi^m p$  for each  $m \neq p$  in the ring  $R = \mathbb{Z}[\xi]/((1-\xi)p)$ . Hence we have

$$
0 = (1 + \xi + \xi^2 + \dots + \xi^{p-1}) = p + p + \dots + p = p^2
$$

This implies that  $-p^2 - (p-1)(-\xi^2p + \xi p + \xi^2) = \xi^2 - p$  in R. Notice that in R. the element  $\xi^2 - p$  is a unit as  $({\xi}^2 - p)({\xi}^{p-2} + p) = {\xi}^p + {\xi}^2p - {\xi}^{p-2}p + p^2 = 1$ . When multiplied by the trivial unit  $\zeta^{p-2}$  the image of the determinant  $\zeta^2 - p$ becomes  $(1 - p)$ . Hence in order to prove the Lemma we need to show that  $(1-p) \neq \pm \xi^m \mod ((1-\xi)p)$  in  $\mathbb{Z}[\xi].$ 

CASE (A):  $H(1 - p) = -\xi^m \mod ((1 - \xi)p)$  then  $(1 - p) = -\xi^m + (1 - \xi)pr$  for some  $r \in \mathbb{Z}[\xi]$ . We multiply both sides by p to get  $p - p^2 = -\xi^m p + (1 - \xi)pr'$ or  $2p \in ((1 - \xi)p)$  in  $\mathbb{Z}[\xi]$ . But then  $2 \in (1 - \xi)$ . Recall that  $1 - \xi$  is not invertible in  $\mathbb{Z}[\xi]$  and  $2 \neq 0$  in  $\mathbb{Z}/p\mathbb{Z} = \mathbb{Z}[\xi]/(1 - \xi)$ .

CASE (B): If  $(1 - p) = \xi^m \mod ((1 - \xi)p)$  *then*  $(1 - p) = \xi^m + (1 - \xi)p^r$  *for* some  $r \in \mathbb{Z}[\xi]$ . But then  $1 - \xi^m = (1 - \xi)pr + p$ . This is a contradiction as the coefficients of the polynomial on the left hand side are not divisible by p but the coefficients of the polynomial on the right hand side are, and p is not a unit in  $\mathbb{Z}[\xi].$   $\qquad \blacksquare$ 

This concludes the proof of Lemma 2.4.

Proof of *Theorem 0.1:* Theorem 0.1 is an immediate consequence of Lemmas 2.1, 2.2, 2.3 and 2.4.  $\blacksquare$ 

### **3. Free Nilpotent Extensions of Burnside Groups**

In this section we prove Theorem 0.3 and Theorem 0.6.

*Proof of Theorem 0.3:* Let  $B(n, p)$  be the free Burnside group and  $G_c =$  $F_n/\gamma_c(F_n^p)$  its nilpotent extension. Define a map  $\varphi: F_n \to F_n$  by  $\varphi(X_1) =$  $Y_1 = X_1[X_1^p, X_2^p, X_1]; \varphi(X_i) = Y_i = X_i$  for  $i \geq 2$ . As  $\varphi$  induces the identity map modulo  $\gamma_2(R) = R'$ ,  $R = F_n^p$ , we can apply Lemma 3.1 of [BG] and conclude that  $\varphi$  induces an automorphism  $\varphi$  of the group  $G_c$ .

We check now that  $\varphi$  defines a non-tame automorphism of  $G_c$ . It follows from Lemmas 1.2 and 1.3 that for any  $g \in \gamma_c(R)$  and any  $i, 1 \le i \le n, d_i(g) \in$  $\Delta_R^{c-1}$  hence  $d_i(g) \in \Delta_R^2$ . By sending  $X_1, X_2 \to t$  and  $X_i \to 1$ ,  $i > 2$ , we obtain a homomorphism  $\mathbb{Z}F_n \to \mathbb{Z}[t,t^{-1}]$  and the ideal  $I_x$  is mapped to 0 in  $\mathbb{Z}[t, t^{-1}]/(\operatorname{Im} \Delta_R^2)$ . As before there is an induced homomorphism on the quotient rings  $\mathbb{Z}G \to \mathbb{Z}[t, t^{-1}]/(\mathrm{Im} \Delta_F^3)$  (see [LM]).

Consider now the image  $J\varphi'$  of the Jacobian matrix  $J\varphi = (\partial \varphi(x_i)/\partial x_i)$  in the commutative ring  $\mathbb{Z}[t,t^{-1}]$ . It is immediate that  $\det J\varphi' = \text{Im }d_1(y_1)$ . Using Lemma 1.2 (b) we calculate:

$$
d_1(y_1)=1+x_1(1-x_1)(1-x_2^p)(1+x_1+\cdots+x_1^{p-1})(\mod \Delta_R^2).
$$

This yields that the determinant  $J\varphi'$  is equal to

(1) 
$$
1 + t(t-1)(t^p - 1)(1 + t + \cdots + t^{p-1}) \bmod(\text{Im}(\Delta_R^2)).
$$

The determinant  $J\varphi'$  is not a trivial unit of  $\mathbb{Z}[t, t^{-1}]$  modulo the image of  $\Delta_R^2$ . Indeed, the image of  $\Delta_R^2$  consists of polynomials of the form  $P(t, t^{-1})(t^p - 1)^2$ . Were the expression (1) a trivial unit of  $\mathbb{Z}[t, t^{-1}]$  modulo the image of  $\Delta_R^2$ , we would have:

$$
t(t-1)(t^{p}-1)(1+t+\cdots+t^{p-1})=\pm t^{k}-1+P(t,t^{-1})(t^{p}-1)^{2}
$$

for some polynomial  $P(t, t^{-1})$  and some integer k. This is a contradiction because the polynomial on the left-hand side has I as a root of multiplicity two while the polynomial on the right-hand side has 1 as a root of multiplicity  $\leq 1$ . The proof is now completed by applying Theorem II to the case  $m = 1$  and  $A^* =$  $(\mathbb{Z}[t, t^{-1}]/\operatorname{Im}(\Delta_R^2))^*$ .

*Proof of Theorem 0.6:* Let  $x_1, ..., x_n$  be some minimal set of generators for the group G. Then there is a free group of rank  $n, F_n = F(X_1, ..., X_n)$  such

that G has a presentation of the form  $F_n/S$ . Consider the free group  $F_{n+1} =$  $F(X_1, ..., X_n, X_{n+1})$  of rank  $n+1$  and its normal subgroup R generated by S and  $X_{n+1}$  (we consider  $F_n$  to be naturally embedded in  $F_{n+1}$ ). Clearly  $G = F_{n+1}/R$ . We now present a non-tame automorphism of the group  $F_{n+1}/R'$ .

There is a well-known action of the group ring  $\mathbb{Z}(F/R)$ ,  $F = F_{n+1}$  on the abelian group  $R/R'$  giving rise to the notion of relation module of  $F/R$  (see [LS] p. 100). For  $h \in F/R$ , and  $r \in R/R'$ , there is a map  $(h, r) \to hrh^{-1} \in R/R'$ . For an arbitrary  $v \in \mathbb{Z}(F/R)$  extend this map Z-linearly. For any  $v \in \mathbb{Z}(F/R)$ ,  $r^v$  will denote the result of the action of v on r mod R'. It is straight-forward to see that  $d_i(r^v) = v d_i(r) (\mod \Delta_R), 1 \leq i \leq n$ .

As  $X_{n+1}$  is an element of the group R, this action is defined on  $X_{n+1}$  modulo R'. Choose a non-trivial unit  $U \in \mathbb{Z}(G)$  such that its natural image  $u \in Z(G/G')$ is also a non-trivial unit. Define a map  $\varphi: F/R' \to F/R'$  by

$$
\varphi(X_{n+1}) = Y_{n+1} = X_{n+1}^U; \quad \varphi(X_i) = Y_i = X_i \quad \text{ for } 1 \le i \le n.
$$

It is easy to see that  $\varphi$  induces a homomorphism of the group  $F/R'$ , and it has an inverse defined by  $Y_i \to X_i$  for  $1 \leq i \leq n$ ,  $Y_{n+1} \to X_{n+1}^{U^{-1}}$ .

Consider the homomorphism  $\mathbb{Z}(F/R) \to \mathbb{Z}(G/G')$ . It is immediate that the image of det  $J\varphi$  is equal to  $u = \text{Im}(d_{n+1}(Y_{n+1}))$  which is not a trivial unit of  $Z(G/G')$ . As any derivative of any element of R' is contained in  $\Delta_R$  the image of  $I_{\tau}$  is contained in  $(\text{Im }\Delta_R)$ . The proof is now completed by applying Theorem II to the case  $m = 1$  and  $A^* = (Z(G/G')/Im(\Delta_R))^*$ .

#### **References**

- **[A]**  S. Andrea~iakis, *On the automorphisms* of free groups and free *nilpotent groups,* **Proc. London Math. Soc. (3) 15 (1965),** 239-268.
- **[B]**  S. Ba~hmuth, Induced *automorphlsms* of free groups and free *metabelian*  groups, Trans. Amer. Math. Soc. 122 (1966), 1-17.
- [BG] R. M. Bryant and C. K. Gupta, *Characteristic subgroups* of free *centre-bymetabelian* groups, J. London Math. Soc. (2) 29 (1984), 435-440.
- [BGLM] R. M. Bryant, C. K. Gupta, F. Levin and H. Y. Mochizuki, Non-tame automorphisms of free *nilpotent* groups, Commun. Algebra 18 (1990), 3619-3631.
- **[BFM]**  S. Bachmuth, E. Formaaek and H. Y. Mochizuki, *IA-antomorphisms of certain two-generator* torsion-free groups, J. Algebra 40 (1976), 19-30.

- **IBM1]**  S. Bachmuth and H. Y. Mochizuki, *The non-finite generation of Aut(G), G* free *metabelian of rank 3,* Trans. Amer. Math. Soc. 270 (1982), 693-700.
- **[BM2]**  S. Bachmuth and H. Y. Mochizuki,  $Aut(F) \to Aut(F/F'')$  is *surjective for free group F of rank*  $\geq 4$ , Trans. Amer. Math. Soc. 292 (1985), 81-101.
- $|C|$ O. Chein, *IA automorphisms* of free *and* free *metabellan groups,* Comm. Pure Appl. Math. 21 (1968), 605-629.
- **[CFL]**  K. T. Chen, R. H. Fox and R. C. Lyndon, Free *differential calculus. IV. The quotient* goups of *the* lower *central* series, Ann. Math. (2) 68 (1958), 81-95.
- **[F]**  R. H. Fox, Free *differential calculus. I. Derivation in the free group ring*, Ann. Math. (2) 57 (1953), 547-560.
- **[Gr]**  K. W. Gruenberg, *Cohomological topics in group theory,* Lecture Notes in Math., Vol. 143, Springer-Verlag, Berlin, 1970.
- **[GG]**  C. K. Gupta and N. D. Gupta, *Generalized Magnus embeddings and some applications,* Math. Z. 160 (1978), 75-87.
- **[GL]**  C. K. Gupta and F. Levin, Tame range of *automorphism groups* of free *polynilpotent groups,* Commun. Algebra 19 (1991), 2497-2500.
- **[LM]**  M. Lustig and Y. Moriah, *Generating systems of* groups *and Reidemeister-Whitehead torsion,* J. Algebra, to appear.
- **[LMR]**  M. Lustig, Y. Moriah and G. Rosenberger, *Automorphisms of Fuchsian groups and their lift to* free groups, Can. J. Math. 1 XLI (1989), 123-131.
- **[LS]**  R. Lyndon and P. Schupp, *Combinatorial Group Theory,* Ergebnisse der Mathematik 89, Springer-Verlag, Berlin, *1977.*
- **[N]**  J. Nielsen, *Die Isomorphismengruppe* der freien *Gruppen,* Math. Ann 91 (1924), 169-209.
- **[Se]**  S.K. Sehgal, *Units in commutative integral group rings*, Math. J. Okayama Univ. 14 (1970), 135-138.
- **[Shl]**  V. Shpilrain, On *the* centers of free central *extensions of* some groups, in *Groups--Canberra 1989* (L. Kovacs, ed.), Lecture Notes in Math. 1456, Springer-Verlag, Berlin, 1990, pp. 181-184.
- **[Sh2]**  V. Shpilrain, *Automorphisms of FiR' groups,* Int. J. Algebra Comput. 1 (1991), 177-184.